



**INTERSTATE COMMISSION FOR ADULT OFFENDER SUPERVISION
ANNUAL BUSINESS MEETING MINUTES**

**The Westin Cleveland Downtown Hotel
777 Saint Clair Ave NE • Cleveland, OH 44114
September 14, 2016**

Call to Order

The meeting was called to order by Chair S. Andrews (OH) at 8:30 a.m. ET. The Cleveland Division of Police Color Guard presented the flags.

Roll Call

Roll was called by Executive Director H. Hageman. Forty nine out of fifty-three members were present, thereby constituting a quorum.

1. Alabama	Christopher Norman, commissioner
2. Alaska	Carrie Belden, commissioner
3. Arizona	Dori Ege, commissioner
4. Arkansas	Sheila Sharp, commissioner
5. California	Guillermo Viera Rosa, commissioner
6. Colorado	Alison Morgan, commissioner
7. Connecticut	Gary Roberge, commissioner
8. Delaware	John Sebastian, official designee
9. District of Columbia	Elizabeth Powell, official designee
10. Florida	<i>Not in attendance</i>
11. Georgia	Chris Moore, commissioner
12. Hawaii	Sidney Nakamoto, commissioner
13. Idaho	Denton Darrington, commissioner
14. Illinois	Dara Matson, commissioner
15. Indiana	Turran Blazier, official designee
16. Iowa	Charles Lauterbach, commissioner
17. Kansas	Matthew Billinger, official designee
18. Kentucky	<i>Not in attendance</i>

19. Louisiana	Pete Fremin, commissioner
20. Maine	Scott McCaffery, commissioner
21. Massachusetts	Paul Treseler, commissioner
22. Maryland	Joseph Clocker, commissioner
23. Michigan	Russell Marlan, commissioner
24. Minnesota	Allen Godfrey, commissioner
25. Mississippi	Christy Gutherz, commissioner
26. Missouri	Ellis McSwain, commissioner
27. Montana	Cathy Gordon, commissioner
28. Nebraska	Jacey Nordmeyer, commissioner
29. Nevada	Shawn Arruti, commissioner
30. New Hampshire	Mike McAlister, commissioner
31. New Jersey	Robin J. Stacy, Esq., official designee
32. New Mexico	Roberta Cohen, commissioner
33. New York	Robert Maccarone, commissioner
34. North Carolina	Anne Precythe, commissioner
35. North Dakota	Charles Placek, commissioner
36. Ohio	Sara Andrews, commissioner
37. Oklahoma	Anthony Rowell, commissioner
38. Oregon	Jeremiah Stromberg, commissioner
39. Pennsylvania	Margaret Thompson, commissioner
40. Puerto Rico	<i>Not in attendance</i>
41. Rhode Island	Laura Queenan, designee
42. South Carolina	<i>Not in attendance</i>
43. South Dakota	Doug Clark, commissioner
44. Tennessee	Bobby Straughter, commissioner
45. Texas	Libby Elliott, commissioner
46. Utah	James Hudspeth, commissioner
47. Vermont	Dale Crook, commissioner
48. Virginia	James Parks, commissioner
49. Virgin Islands	Rick Mullgrav, commissioner
50. Washington	Anmarie Aylward, commissioner
51. West Virginia	Diann Skiles, commissioner
52. Wisconsin	Tracy Hudrlik, commissioner
53. Wyoming	Coltan Harrington, commissioner

Executive Director H. Hageman recognized ex-officio members:

- National Governor Association - *Not in attendance*
- National Conference Of State Legislatures - Craig Tieszen
- National Organization of State Chief Justices - *Not in attendance*
- National Association of Attorneys General – *Not in attendance*
- National Organization of Crime Victims – *Not in attendance*
- National Institute of Corrections - *Not in attendance*
- American Probation and Parole Association – Veronica Cunningham
- Association of Paroling Authorities International – Monica Morris

- Interstate Commission for Juveniles – Ashley Lippert
- Conference Of State Court Administrators - *Not in attendance*
- National Organization for Victim Assistance - Jeannette Adkins
- Association of Prosecuting Attorneys - Marlene Botros
- National Association for Public Defense - Elizabeth Miller
- International Association of Chiefs Police - *Not in attendance*
- American Jail Association - *Not in attendance*
- National Association of Police Organizations - *Not in attendance*
- National Sheriff's Association - *Not in attendance*

Welcome & Overview

Chair S. Andrews (OH) welcomed the Commission to Cleveland, OH. She introduced Ohio Senator John Eklund, who gave the keynote speech.

Ohio Chief Justice Maureen O'Connor welcomed the Commission members via a video message.

Chair S. Andrews (OH) recognized OH State Council members that were present at the meeting. She thanked the Commission for the opportunity to serve as its chair. For the last two years, she made sure the Commission preserved its traditions that continue to advance the mission of the Compact while improving and expanding services to the states within the confines of the current budget.

Chair S. Andrews (OH) presented a PowerPoint presentation about Cleveland and Ohio.

Chair S. Andrews (OH) instructed the Commission on the rules and procedures of the meeting.

Approval of Agenda

Chair S. Andrews (OH) requested to change the order of the Committee Report on the agenda starting the meeting with the Training Committee report followed by the Technology Committee and the Rules Committee reports and asking the Victims' Advocate report be removed from the agenda, since P. Tuthill was not able to attend this meeting.

Commissioner A. Morgan (CO) moved to approve the agenda as amended. Commissioner S. Nakamoto (HI) seconded.

Agenda approved as amended.

Approval of Minutes

Commissioner R. Maccarone (NY) moved to approve the ABM 2015 minutes as presented. Commissioner J. Stromberg (OR) seconded.

Minutes approved as presented.**Training, Education & Public Relations Committee Report**

Commissioner A. Precythe (NC), Training, Education & Public Relations Committee chair, expressed her gratitude towards the Committee's members, trainers, and the national office staff for their work throughout the year.

Training Committee Members: Anne L. Precythe, chair (NC); James Parks (VA); Roberta Cohen (NM); Scott McCaffrey (ME); Dara Matson (IL); Chris Moore (GA); Joseph Clocker (MD); Russell Marlan (MI); Mark Patterson, ex-officio (OR); Sally Reinhardt-Stewart, ex-officio (NE); and Tim Strickland, ex-officio (FL).

Trainers: Tim Strickland (FL); Leslie Thomas (NC); Betty Payton (NC); Ernette Griggs (WI); Margaret Thompson (PA); Jim Ingle (UT); Janice Young (ND); Roberta Cohen (NM); Rose Ann Bisch (MN); Holly Kassube (IL); Shawn Arruti (NV); Judy Mesick (ID); Matthew Reed (PA); Julie Lohman (VA); Jacey Nordmeyer (NE); Matthew Billinger (KS); and Dori Ege (AZ).

The Training Committee continues to improve and expand training efforts to assist states in educating criminal justice professionals involved in Interstate Compact business. This year, the Training Committee's focus has been on expanding and redesigning the on-demand trainings; updating, consolidating and reviewing of training materials available as well as emphasizing the state compact offices' roles and responsibilities. Last year, the Training Committee established a trainer group recognizing trainers with specific content expertise. These trainers continue to highlight the importance of operationalizing the rules and to "Work the Rules, Don't Let the Rules Work You!" always remembering the purposes of ICAOS to ensure public safety, track offender movement, and support offender rehabilitation efforts by providing effective supervision.

Trainings this year included amendment training for compact staff, general rules training for field staff, and a special compact staff training in May specifically highlighting the significant roles, authority compact offices possess, and the importance of quality information and communication between states. Discussions from the May Compact Staff training will continue at the DCA Training Institute in Cleveland. Stats show states are expanding their usage of the ICAOS On-Demand training available and requests for Technical and Training Assistance to use ICAOS' WebEx services to supplementing their own state's training efforts.

Other notable accomplishments

- Published Training Bulletin 1-2016 - *Managing Returning Offenders*
- Reviewed and archived old Training Bulletins
- Presented at the Winter APPA Training Institute
- Along with the Rules Committee, clarified questions regarding Rule 5.101-2

- Worked with the DCA Liaison Committee to develop workshop curriculum based on suggestions provided by the ABM workgroup

Looking ahead in FY2017

- Assist in development of training for rule changes including impacts to ICOTS
- Expand compact office/administrator trainings in conjunction with the DCA Liaison Committee
- Support state compact offices' responsibilities to train stakeholders in their state on ICAOS Rules and purpose
- Reinforce the authority of the compact offices
- Emphasize the goals of the Compact
 - What's in the best interest of public safety?
 - What's in the best interest of the offender?

Commissioner A. Precythe (NC) encouraged the Commissioner to get to know their Compact Staff and be involved in Compact trainings. She praised Commissioner J. Clocker (MD) for his involvement with his Compact Office.

Commissioner D. Ege (AZ) moved to accept the Training, Education & Public Relations Committee report. Commissioner T. Hudrlik (WI) seconded.

Motion passed.

Information Technology Report

Commissioner G. Roberge (CT), Information Technology Committee chair, thanked the national office staff and the Technology Committee members for their service to the Committee.

The Information Technology Committee consists of 11 members, including six commissioners and five ex-officio members. Commissioners include Gary Roberge – chair (CT), Nancy Ware – vice chair (DC), Chris Norman (AL), Sheila Sharp (AR), Charles Placek (ND), and Shawn Arruti (NV). Ex-officio members include Natalie Latulippe (CT), Matthew Billinger (KS), John Gusz (NJ), Felix Rosa (NY), and Julie Lohman (VA).

The Information Technology Committee met by telephone and WebEx conference five times since last year's annual business meeting.

The Committee worked on the following matters during the 2016 fiscal year:

FBI NDex Data Sharing

The National Office successfully implemented a comprehensive data export to the FBI NDex data center last fiscal year. Each month over 200,000 compact records, which include offender case and offense information, are exported to the FBI data center.

Fusion Center Data Exchange Project

The Fusion Center Data Exchange project continues to expand and now includes the Rocky Mountain Information Network, which maintains a centralized data-sharing network for several states including Arizona, Colorado, Idaho, Montana, Nevada, New Mexico, Utah, and Wyoming. The Connecticut state fusion center is in the process of finalizing their ICOTS data exchange and should be completed in the Fall of 2016.

ICOTS Offender Photos

Throughout this past fiscal year, the national office worked in conjunction with Appriss to develop a process to export ICOTS offender photos to a data warehouse accessible to the national office. Upon completion of the photo warehouse in the Fall of 2016, the national office Technology Committee, and Compliance Committee will conduct an analysis of the current state and quality of photos within the ICOTS application. The primary goal of the photo analysis is to identify issues with the current photos in the ICOTS system and to develop standards for offender photos uploaded into ICOTS. This is an extremely important exercise as ICOTS photos are exported to numerous databases. It is imperative for safety and intelligence purposes that photos are current and meet certain quality standards.

ICOTS VINEWatch

Appriss enhanced the victim notification system this past year to include SMS text messages to the list of victim notification options in ICOTS VINEWatch. In addition, the Commission is working with Appriss to enhance the functionality of ICOTS VINEWatch system to allow for self-registration. The usage of this function is very low.

Executive Director H. Hageman asked for Commission's consensus regarding this issue to let Appriss know to proceed with this enhancement or not.

The Commission voted to proceed with the VINEWatch self-registration function.

ICOTS Data Exports

Connecticut and Virginia continue to collaborate with Appriss and are now receiving data exports of ICOTS data on a daily basis. Both states will utilize this data in conjunction with their internal case management information systems to create activity reports for

their supervising officers and to further enhance compliance with ICAOS supervision and reporting activities. Both states are also in the process of cataloging the work they are doing with Appriss on this project and the information will be available to other states that may be interested in establishing similar data exchanges with Appriss.

ICOTS FY 2017 Enhancements

In the fiscal year 2017, the Executive Committee approved a \$90,000 appropriation for ICOTS enhancements. The Information Technology Committee analyzed the statements of work prepared by Appriss to prioritize the enhancements that will have the most significant impacts for end users and recommended to the Executive Committee three-system enhancements that include multiple enhancements in each system upgrade. The Executive Committee approved the recommended enhancements for FY 2017.

The Information Technology Committee was made aware that the Rules Committee would be proposing a rule amendment during this year's Annual Business meeting, which may impact the \$90,000 appropriation if passed. If the proposed rule amendment passed, the Information Technology Committee needed to modify the approved enhancements to remain within the \$90,000 appropriation.

ICOTS Helpdesk Support

The ICOTS Helpdesk received approximately 1,058 ICOTS support tickets throughout the 2016 fiscal year, which is approximately a 50% reduction from FY 2015. This reduction in helpdesk support tickets can be attributed to the following three areas: the ICOTS enhancement limiting the creation of duplicate offenders, continued bug fix releases from Appriss, and increased ICOTS training initiatives from the Training Committee.

External Reports

Usage of the external reports rose from over 20,000 page views in FY 2015 to over 22,000 page views in FY 2016; an increase of 10%.

Compliance Dashboards

Use of the compliance dashboards rose from over 3,000 page views in FY 2015 to over 3,700 page views in FY 2016; an increase of 23%.

ICAOS Website

Visits to the website were up by 2.5% from the previous fiscal year, with over 525,000 visits. Desktop users dropped 8.7% to 324,000 visits, mobile users were up 32% to 200,000 visits, and tablet users were up 6% to 18,000 visits. Users on mobile or tablet devices accounted for more than 38% of the visits to the ICAOS website in FY 2016.

The following are identified goals and challenges for FY 2017:

- Ensure that the necessary ICOTS system changes are developed and implemented prior to the effective date of any rules changes that are adopted during the 2016 Annual Business Meeting;
- Provide guidance to the Commission with respect to future ICOTS system enhancements;
- Continue to explore options to expand and enhance data sharing opportunities with federal and local criminal justice agencies;
- Continue to collaborate with the Commission and Appriss to enhance VINEWatch functionality;
- Document the work Connecticut and Virginia are doing with Appriss to obtain ICOTS offender and case information;
- Assist the Commission in identifying potential issues related to photos in ICOTS and developing minimum standards for future photos entered into the system; and,
- Continue to work on the NCIC initiative to improve the Wanted Person File related to IC warrants and bond information for re-taking purposes.

Commissioner D. Crook (VT) moved to approve the Information Technology Committee Report as presented. Commissioner R. Maccarone (NY) seconded.

Motion passed.

Rules Committee Report

Commissioner R. Maccarone (NY) and Commissioner T. Hudrlik (WI) thanked the ad hoc committee members, Rules Committee members, and the national office staff for their hard work throughout the year.

Rules Committee chair Commissioner Seigel was not able to be present at this meeting.

Commissioner T. Hudrlik (WI) presented the rules amendments as a package deal. The Rules were previously discussed on Monday and Tuesday prior to the general session.

Commissioner T. Hudrlik (WI) informed the Commission members that they can submit their changes to the ICOTS reports for the next ten days.

Commissioner J. Hudspeth (UT) spoke against the proposed amendments. He stated that the UT State Council suggests voting on individual rules, rather than a rule package.

Commissioner A. Morgan (CO) expressed the same concern regarding the voting on the whole package vs. the individual rules. She stated that the rules work as they are and suggested more conversation.

Commissioner L. Elliot (TX) supports Colorado and Utah's opinion on this matter.

Commissioner D. Ege (AZ) stated that AZ State Council supports the proposal as is. If one of the rules is changed, the other ones must be changed as well, that is why the Rules Committee presented the amendments as one package.

Commissioner A. Precythe (NC) stated that the amendments aligns with the North Carolina's justice reforms.

Commissioner S. Arruti (NV) spoke in favor of the rule amendments.

Ex-Officio C. Tieszen (NCSL) stated his three observation of these amendments: they are a continuation of the new wave of criminal justice reforms; proposed rule changes present a solid supervision plan; and that these amendments express the maturing of the Compact. All of that shows the increased trust level among states.

Commissioner A. Aylward (WA) moved to adopt the rule amendments package as presented by the Rules Committee. Commissioner C. Moore (GA) seconded.

Commissioner A. Precythe (NC) stated that the Training Committee is committed to provide all necessary support to the states to implement the rules.

Motion passed by vote 34 to 14.

DCA Liaison Committee Report

Commissioner T. Hudrlik (WI), DCA Liaison Committee chair, presented her report to the Commission. She thanked the national office and the committee members for their work.

Committee Members

Tracy Hudrlik (WI) – commissioner (DCA Liaison Committee chair)
Alison Morgan (CO) - commissioner (DCA Liaison Committee vice-chair)

Donna Pratt (VT) – DCA (East Region DCA chair)
Julie Lohman (VA) – DCA (South Region DCA chair)
Judy Mesick (ID) – DCA (West Region DCA chair)
Matt Billinger - (Midwest Region DCA chair)

Cathy Gordon (MT) – commissioner / DCA
Diann Skiles (WV) – commissioner
Anthony Rowell (OK) - commissioner
Tim Strickland (FL) – DCA
Joseph Beaman (MI) – DCA

Regina Grimes (TX) – DCA
Elizabeth Powell (DC) – DCA

Mission

The DCA Liaison Committee is responsible to act as the liaison between commissioners and deputy compact administrators (DCAs). The committee ensures that communication and feedback are forwarded appropriately. The Committee identifies and provides training opportunities for the deputy compact administrators.

Goals

The DCA Liaison Committee is working on three goals for this year:

1. Define the DCA mentoring process for notifications;
2. Establish a formal mentoring process via worksheet/checklist; and,
3. Work with the Training Committee on the DCA Training Institute and ongoing training

DCA 2016 Updates:

East Region:

Maine – Bill Goodwin
New Jersey - Robin Stacy, Parole

South Region:

Kentucky – Don Werner, Parole
Maryland – Cornelius Woodson

Midwest Region:

Illinois – Dara Matson, Parole

West Region:

Colorado – Meredith McGrath, Parole
Nevada – Deon McDaniel
Washington- Tanja Gilmore

Mentoring

The mission of the mentoring program is to coach, train, and counsel new and existing DCAs on the operations of a compact office and to provide guidance to DCAs who need assistance in resolving difficult compliance issues in their state. The mentoring program should encourage active participation in Commission and regional activities and collaboration with member states to promote successful strategies and best practices.

- *Participant*: Any DCA who is either new or requests (through commissioner) additional coaching or assistance.
- *Mentor*: The DCA Liaison Committee Regional chair or another DCA in good standing. Mentors will communicate regularly and offer feedback, guidance, and support.
- *Mentoring period*: Typically, one year. Extensions may be granted, if needed.

Committee Work

The committee met on April 26, 2016 and on July 26, 2016. In April, the goals for the coming year were determined as indicated above.

The committee is currently working on the communication process for DCAs and commissioners to ensure that new DCAs are welcomed and encouraged to participate in the mentoring program in a consistent manner. Further communication is being developed so that commissioners and existing DCAs are aware of the referral process and ongoing benefits available through mentoring for existing DCAs who may need the additional resources.

This process will be shared with commissioners when final. The committee is working with national office staff to place all mentoring materials and information in one location so that DCA participants will have easy access to all materials electronically.

Committee members also worked with the Training Committee to develop and present the topics at the DCA Training institute at this year's ABM.

The DCA liaison committee works with the regional committees to establish DCA region chairs who then facilitate regional DCA meetings. These meetings are excellent opportunities to identify concerns and collaborate toward solutions. The DCA region chair can then bring these issues to the DCA Liaison Committee where they can be further addressed.

Commissioner D. Ege (AZ) moved to accept the DCA Liaison Committee report. Commissioner A. Morgan (CO) seconded.

Motion passed.

Compliance Committee Report

Commissioner J. Stromberg (OR), Compliance Committee chair, thanked committee members for their work:

Jeremiah Stromberg, chair, OR

Mike McAlister, NH
Charles Placek, ND
Chris Norman, AL
Ellis McSwain, MO
Cathy Gordon, MT
Margaret Thompson, PA
James Hudspeth, UT
Genie Powers, LA*
Kathleen Graves, KS*
Kim Madris, NV*
Cathy Gibson-Beltz, NE*

*Denotes retired or left position during 2016

The Compliance Committee is responsible for monitoring compliance of member states with the terms of the Compact and the Commission's rules. In addition, the Committee is responsible for developing appropriate enforcement procedures for the Commission's consideration.

Goals and Objectives

The Committee has four specific goals for this year:

- Continue to review compliance trends and make recommendations regarding rules and training as necessary;
- Increase committee participation to at least 2 members from each region;
- Set a goal of initial complaint reviews to be conducted within 30 days of notification; and,
- Develop a more formal review process regarding Corrective Action Plans.

Compliance Issues and Outcomes

During the reporting year, the Committee reviewed and made recommendations to the Executive Committee on the following matters:

- **April 2016:** Recommended the closure of Georgia's corrective action plan as Georgia met all the conditions and expectations. Executive Committee approved.
- **April 2016:** Recommended legal counsel to engage in federal action by which the State of Maryland shall be forced to comply with the rules of the compact and a fine of \$10,000 for violation of ICAOS rules 5.103, 5.108, and 5.111. Executive Committee voted to have legal counsel author a demand letter to the Maryland chief justice, governor, and commissioner to comply with the rules of the compact and imposed a fine in the amount of \$10,000. Maryland has responded that they are attempting to rectify through education, training, and direction to the violations noted above.

- **May 2016:** Recommended legal counsel to engage in federal action by which the Virgin Islands shall be forced to comply with the appointing of a commissioner. Executive Committee voted to have legal counsel author a demand letter to the governor to comply with the appointment of a commissioner. The governor has responded that he will do so and will move to have the appointment approved through the senate as soon as possible.

Dashboard Trends

States' adherence to the outcomes measured across the compliance dashboard continued to trend upward in all seven primary categories. Between FY 2015 and FY 2016, significant compliance increases can be seen in Closure Notices (7%), Annual Progress Reports (6%), and Violation Responses (4%).

<u>Standard</u>	<u>FY 2014 Compliance Average</u>	<u>FY 2015 Compliance Average</u>	<u>FY 2016 Compliance Average</u>
3.101-1, 3.103 & 3.106-RFRI Reply	95.6%	96%	97.3%
3.104-Transfer Reply	85.6%	87.9%	90.3%
4.102 & 4.112-Closure Notice	88.9%	89%	96.6%
4.112 Closure Reply	85.8%	88.7%	90.1%
4.106 Requested Progress Report	88.9%	95.1%	96%
4.106 Annual Progress Report	76.8%	78.2%	84.7%
4.109 Violation Response	78.4%	81.5%	85.3%

Commissioner J. Hudspeth (UT) moved to accept the Compliance Committee report. Commissioner D. Crook (VT) seconded.

Motion passed.

Finance Committee Report

The Commission continues to be in strong financial condition. The balance in the Commission's cash reserve fund is \$1.8 million. In addition, the Commission maintains a separate legal reserve of \$50,000 to cover litigation expenses. The Commission also participates in a long-term investment program managed by the Council of State Governments. The Commission's balance in this long-term portfolio is currently \$1,382,000. Due to the gradually declining balance in the reserve fund over the past few years, the Commission has not been making new contributions to the long-term portfolio in FY 2015 although portfolio's balance continues to grow.

Maintaining and enhancing the ICOTS information system is probably the most important financial challenge facing the Commission. So far, in FY 2015 the Commission has invested in excess of \$500,000 in ICOTS. In FY 2016 \$410,000 is

budgeted for ICOTS. Another growing area of concern involves costs associated with accounting services, payroll, legal assistance, and human resources.

In June, 2016 the Executive Committee made the decision to terminate the Commission's long affiliation with the Council of State Governments. As a result, the Commission explored various management services options in an effort of conserve funds.

Commissioner C. Lauterbach (IA) noted that the Commission has not needed to increase membership dues since 2008 and no dues increase is being recommended for FY 2018. In recent years the Commission has been spending slightly more than it has collected in revenue however the reserve fund has been more than adequate to cover the deficit. It is hoped the Commission will be able to identify strategies that will reduce Commission expenses and prevent any dues increase, at least in the near term.

Commissioner C. Lauterbach (IA) thanked the national office and the Finance Committee members for their work: Commissioner Christy Gutherz, MS, Commissioner Bobby Straughter, TN, and Commissioner Sheila Sharp, AR.

Commissioner C. Lauterbach (IA) moved to approve the FY 2018 budget. Commissioner A. Morgan (CO) seconded.

Motion passed.

Commissioner G. Roberge (CT) moved to approve the Finance Committee Report. Commissioner D. Crook (VT) seconded.

Motion passed.

ABM Planning Workgroup Report

Commissioner C. Norman (AL) informed the Commission that this year's annual business meeting was a combined effort of commissioners and DCAs.

The following commission members participated in planning the meeting: Scott McCaffrey, ME; Suzanne Brooks, OH; Matt Billinger, KS; Mike McAlister, NH; Natalie Latulippe, CT; Shawn Arruti, NV; Jeremiah Stromberg, OR; Jenna James, GA; Elizabeth Powell, DC; and Judy Mesick, ID.

Chair S. Andrews (OH) accepted ABM Planning Workgroup report.

Legal Counsel Report

General Counsel R. Masters presented his report to the Commission. The General Counsel's Office assists the Commission by providing legal guidance to the Interstate Commission and its committees with respect to legal issues that arise in the conduct of their responsibilities under the terms of the Compact, its bylaws and administrative rules.

The provisions of the Compact specifically authorize formal legal opinions concerning the meaning or interpretation of the actions of the Interstate Commission issued through the Executive Director's Office in consultation with the Office of General Counsel. These advisory opinions are made available to state officials who administer the compact for guidance. The General Counsel's office also works with the Commission and its member states to promote consistent application of and compliance with its requirements including the coordination and active participation in litigation concerning its enforcement and rule-making responsibilities.

Throughout the year, the general counsel has assisted the Compliance Committee and the Executive Committee in several matters pertaining to investigation, compliance, and enforcement responsibilities under the Compact.

General Counsel R. Masters informed the Commission that because the Commission has the mechanism to enforce the Compact, there were only two cases that elevated to the Executive Committee involvement level and both of them were resolved: appointment and participation of the commissioner and enforcement of the Compact Rules was resolved in extensive education and training programs.

At the request of two compact member states, the Commission, through the general counsel, filed an amicus brief and participated in oral argument before the Massachusetts Supreme Court and provided guidance in another case in which the California Commissioner was named as a Defendant in a lawsuit regarding the interpretation and application of various compact provisions and rules: *Goe v. Commissioner of Probation et al.*, 46 N.E.3d 997 (2016) and *Fielding v. Daniel Stone and California Department of Corrections and Rehabilitation*, 2:15-CV-07086, USDC, Central Dist. of California (2016). Both matters were settled in favor of the Compact.

Chair S. Andrews (OH) accepted the legal counsel's report.

Presentations

Commissioner A. Aylward (WA) moved to suspend the Roberts Rules of Order for the remainder of the meeting. Commissioner D. Crook (VT) seconded.

Motion passed.

Chair S. Andrews (OH) introduced Senator Seitz to the Commission. Senator Seitz discussed national criminal justice reform trends, legislative efforts, overcoming roadblocks, and developing strategies to advance public safety.

State Senator Bill Seitz, a lifelong resident of Western Hamilton County, has worked to represent the best interests of the Greater Cincinnati area at the statehouse. Known for his colorful floor speeches and legal acumen, in a ranking of all 132 legislators published by Columbus Monthly Magazine, Seitz was rated best speechmaker, funniest and was recognized for his effectiveness, his knowledge and his hard work.

Throughout his legislative career, Senator Seitz has been at the forefront of criminal and civil justice issues, leading the effort to reform Ohio's criminal sentencing laws and eliminate the barriers to employment many non-violent offenders face following their release from prison. He has also worked to enhance penalties for violent offenders and to keep sexual predators away from our children.

He was the key architect of Ohio's sweeping tort reforms by which nearly two dozen such bills between 2001-2004 transformed Ohio's civil justice landscape and made Ohio more business-friendly. In these endeavors, he was aided by his legal background. counsel to the Dinsmore & Shohl, LLP law firm since 1978 where he received distinction several times as a *Best Lawyer in America*.

Throughout his adult life, and despite increasing responsibilities in Columbus, Seitz served organizations that promoted livable neighborhoods and strong local communities. He served as president of the Westwood Civic Association and the Western Economic Council; secretary of the Bridgetown Civic Association; a Cincinnati Recreation Commission Commissioner, and a trustee of Invest in Neighborhoods. He also remained active with the Price Hill/Western Hills Kiwanis Club and maintained strong support of law enforcement as a member of the Fraternal Order of Police Associates and past president and secretary of the Cincinnati District 3 Police-Community Relations Committee.

Chair S. Andrews (OH) introduced Dr. Latessa and Dr. Lowenkamp to the Commission. They gave a presentation on organizational development/culture change, implementation science and sustaining effective offender management; risk assessment/evidence based decision-making; recidivism trends and the value of data; and strategies to use program evaluation and effectiveness when making funding decisions.

Dr. Christopher T. Lowenkamp is a social science analyst for the Administrative Office of the US Courts, Probation and Pretrial Services Office. He has prior experience as the director of the Center for Criminal Justice Research, an assistant director of The Corrections Institute and a research professor at the University of Cincinnati.

Dr. Lowenkamp also served as a probation officer and a jail emergency release coordinator in Summit County Ohio, which was when his interests in risk assessment developed. Over the last 20 years Dr. Lowenkamp's research focused on risk assessment, the evaluation of correctional programs, and innovations in community supervision.

Dr. Edward J. Latessa is a PhD recipient from Ohio State University and is director and professor in the School of Criminal Justice at the University of Cincinnati. He is published in over 150 works in the area of juvenile justice, criminal justice and corrections. And, he is author of eight books including *What Works (and Doesn't) in Reducing Recidivism*, *Corrections in the Community*, and *Corrections in America*.

Professor Latessa has directed over 150 funded research projects including studies of day reporting centers, juvenile justice programs, drug courts, prison programs, intensive supervision programs, halfway houses, and drug programs. He and his staff have also assessed over 600 correctional programs throughout the United States, and he has provided assistance and workshops in over forty-five states. He has also received numerous awards.

Award Presentations

Executive Chair Award presented to Commissioner R. Maccarone (NY) by Chair S. Andrews (OH).

Executive Director Award presented to DCA J. Ingle (UT) by Executive Director H. Hageman and Commissioner J. Hudspeth (UT).

Peyton Tuthill Award presented to Victims' Advocate Anne Seymour (FL) in recognition of her service and commitment to victims by Chair S. Andrews (OH) and DCA T. Strickland (FL). Ms. Seymour was not able to attend the meeting.

Committee Chairs Recognition

Chair S. Andrews (OH) recognized officers and committee chairs for their service and dedication: Chris Norman – vice-chair, Charles Lauterbach – treasurer, Jane Siegel – Rules Committee, Tracy Hudrlik – DCA Liaison Committee, Gary Roberge – Technology Committee, Anne Precythe – Training Committee, and Jeremiah Stromberg – Compliance Committee chair.

New Business

Executive Director H. Hageman retires at the end of the year. Chair S. Andrews and Ex-Officio A. Lippert presented him with an appreciation gift.

Election

Commissioner D. Crook (VT), the nomination committee spokesperson, presented the following sleight for nominations and asked the nominees to accept the nominations:

- Chair – Sara Andrews accepted the nomination.
- Vice-chair – Chris Norman accepted the nomination.
- Vice-chair – Jeremiah Stromberg accepted the nomination.
- Treasurer – Charles Lauterbach respectfully declined the nomination.

Commissioner C. Belden (AK) moved to nominate Commissioner Morgan (CO) for Treasurer. Commissioner R. Marlan (MI) seconded.

Motion passed.

Treasurer – Alison Morgan accepted the nomination.

Chair S. Andrews (OH) asked for the nominations from the floor. No other nominations were received.

Commissioner C. Norman (AL) and Commissioner J. Stromberg (OR) presented their election speech to the Commission.

The Commission voted to elect Commissioner Stromberg as the Commission's vice-chair.

The Commission voted to accept the presented sleight.

Judge Russo (OH) administered the oath of office to newly elected officers: Sara Andrews – chair, Jeremiah Stromberg – vice-chair, and Alison Morgan – treasurer.

Chair S. Andrews (OH) announced that the 2017 Annual Business meeting is scheduled for October 10-11, 2017 in Pittsburgh, PA.

Adjourn

The Commission adjourned at 3:35 pm EDT.