

PRISM

Positive Rewards Incentives Sanction Matrix

#7.37 Encouraging Desired Behaviors and Responding to Violations

Encouraging Desired Behavior

The Agent will assess the offender's readiness for change regarding the identified criminogenic needs at the case planning stage and throughout the period of supervision.

The Agent will use techniques to include, but not limited to, motivational interviewing and cognitive behavioral interventions to help the offender work through the stages of change to address the criminogenic needs.

Responses available to the Agent to address desired behavior are included in the PRISM. Before a response is chosen, the following should be considered.

- Each offender's case plan is different and specific to the offender's needs.
- A positive reinforcement to one offender may not be perceived as such to another.
- The offender's current stage of change and readiness to change will influence the frequency and type of response that is chosen.
- Previously granted positive reinforcements/incentives and the offender's response to the previous reinforcement/incentive.
- The totality of the offender's circumstances and any unintended consequences of granting a specific positive reinforcement/incentive.

Responding to Violations

Responses available to address violations, including revocation, are included in the PRISM. These responses shall be utilized to respond to violation behavior.

When responding to violations, the Agent should consider the offender's risk to the community and the likelihood of behavioral changes with appropriate interventions. Before a response is chosen, the following should be considered:

- The assessed criminogenic risk and needs of the offender.
- The progress the offender has made or failed to make toward the case plan goals.
- The risk of harm the offender immediately presents to the community, a victim, other specific persons, or the offender.
- The circumstances of the violation, including the offender's attitude and willingness to address the behavior.
- The offender's criminal history and prior violations.
- Evidence of the offender's motivation to progress or succeed in supervision.
- Interventions or sanctions previously applied and the outcome.
- Considerations of local judicial practices including county attorney notification, feedback from treatment provider, parole board notification and court orders requiring specified action for violations.

The use of this Matrix tool is not exhaustive but is a general guideline to reference when considering policy.

** Red indicates requirement for Manager approval per policy.*

CONDITION/CASE PLAN AREA	VIOLATION BEHAVIOR	SANCTION CONSIDERATIONS	FOR PERSISTENT and/or HIGH LEVEL VIOLATION
<p style="text-align: center;">LAWFUL & COMPLIANCE</p>	<ul style="list-style-type: none"> • Failure to comply with Probation Officer directives • Providing false information • Failure to report to the probation department within allotted time • Failure to daily call in • Failure to notify PO about police contact • Failure to surrender to jail • Failure to Register as Sex Offender • Failure to report to court • Failure to sign additional terms and conditions • New Crime: Felony, Misdemeanor or infraction • Possession of non-firearm weapon • Possession of firearm • Possession of unauthorized technology device (smartphone, computer, camera, video game system, etc) 	<ul style="list-style-type: none"> • Verbal Reprimand • Written Reprimand • Letter of Apology/Responsibility • Increased Reporting <hr/> <p>➤ Additional Considerations for SO and ISP:</p> <ul style="list-style-type: none"> • Alternative living arrangements • Extend Current Level • Community service • Written behavioral report • Loss of approved technology privileges (if previously approved) • Return unapproved item or donate it to charity • Polygraph 	<ul style="list-style-type: none"> • <i>Enhancement to ISP</i> • <i>Jail Sanction</i> • <i>ACC Sanction</i> • <i>House Arrest</i> • <i>Revocation</i>
CONDITION/CASE PLAN AREA	VIOLATION BEHAVIOR	SANCTION CONSIDERATIONS	FOR PERSISTENT and/or HIGH LEVEL VIOLATION
<p style="text-align: center;">TREATMENT & PROGRAMS</p>	<ul style="list-style-type: none"> • Failure to initiate treatment/obtain evaluation • Failure to comply or compete inpatient/outpatient treatment • Failure to comply with mental health services • Failure to comply/complete Anger Management Program • Failure to comply/complete community Service program • Failure to comply or complete parenting classes • Failure to comply/complete with Domestic Violence Program • AWOL from treatment • AWOL from work Release program • Failure to comply or compete with alternative custody; ie: Jail, EM, Work Program, DRC Failure to participate in education program (CBT, GED, Cognitive classes) 	<ul style="list-style-type: none"> • Written Behavioral Report/Assignment • Practice with Agent (ie.Carey Guide -MRT - T4C) • Call to Tx Counselor or Program Instructor to re-engage • Increased Reporting • COMPAS Re-Class • Meeting with Manager & Agent • Correspondence with granting Authority/County-District Attorney • Meeting with Treatment/Education Staff • Required Victim Awareness program <hr/> <p>• Additional Considerations for SO and ISP::</p> <ul style="list-style-type: none"> • Alternative living arrangements • Extend Current Level • Meeting with SO therapist & Agent • GPS or EM (with Manager & SO Containment team approval) • Loss of travel permissions (OOC and/or OOS) 	<p><i>Enhancement to ISP</i> <i>Jail Sanction</i> <i>ACC Sanction</i> <i>House Arrest</i> <i>Revocation</i></p>

CONDITION/CASE PLAN AREA	VIOLATION BEHAVIOR	SANCTION CONSIDERATIONS	FOR PERSISTENT and/or HIGH LEVEL VIOLATION
SUBSTANCE USE & MEDICATIONS	<ul style="list-style-type: none"> • Circumvention of drug/alcohol screen • Failure to comply with alcohol and/or drug education program • Positive screen for alcohol • Positive screen for THC • Positive screen for: all drugs • Failure to attend/enroll in substance abuse treatment • Failure to take required medications as court ordered • Use of alcohol • Use of marijuana • Use of other illegal drugs • Failure to submit breath analysis • Failure to submit drug screen • Violation of other specific condition related to drugs and alcohol 	<ul style="list-style-type: none"> • Daily Call In's/Increased reporting • Written Behavioral Report/Assignment • Practice with Agent (ie.Carey Guide -MRT - T4C) • Call to Tx Counselor or Program Instructor to re-engage • COMPAS Re-Class • Meeting with Manager & Agent • Correspondence with granting Authority/County-District Attorney • Meeting with Treatment/Education Staff • Referral with deadline to complete new or updated Assessment <hr/> <ul style="list-style-type: none"> ➤ Additional Considerations for SO and ISP: <ul style="list-style-type: none"> • Alternative living arrangements • Extend Current Level • Meeting with SO therapist & Agent • Loss of travel permissions (OOC and/or OOS) • Polygraph 	<p><i>Enhancement to ISP</i></p> <p><i>Jail Sanction</i></p> <p><i>ACC Sanction</i></p> <p><i>House Arrest</i></p> <p><i>Revocation</i></p>
CONDITION/CASE PLAN AREA	VIOLATION BEHAVIOR	SANCTION CONSIDERATIONS	FOR PERSISTENT and/or HIGH LEVEL VIOLATION
MONETARY	<ul style="list-style-type: none"> • Deliberate failure to pay supervision fees • Failure to pay court ordered obligations (not restitution) • Willful failure to pay victim restitution • Deliberate failure to abide by restitution plan • Entered into a contract/account without permission • Unauthorized Purchase 	<ul style="list-style-type: none"> • Verbal reprimand • Letter of Accountability to Victim • Discussion with Victim Coordinator to re-engage • Increased Reporting to provide proof of payment • Community Service • Modification or Extension of Probation • Impose deadline to get caught up on required payments • Return unauthorized purchase or donate it to charity <hr/> <ul style="list-style-type: none"> • Additional Considerations for SO and ISP: <ul style="list-style-type: none"> • Alternative living arrangements • Extend Current Level 	<p><i>Enhancement to ISP</i></p> <p><i>Jail Sanction</i></p> <p><i>ACC Sanction</i></p> <p><i>House Arrest</i></p> <p><i>Revocation</i></p>

CONDITION/CASE PLAN AREA	VIOLATION BEHAVIOR	SANCTION CONSIDERATIONS	FOR PERSISTENT and/or HIGH LEVEL VIOLATION
ASSOCIATIONS	<ul style="list-style-type: none"> • Association with co-defendants • Failure to comply with non-association order • Violation of no contact order, no harassing order, or restraining order • Gang association • Failure to refrain from gang activity • Failure to refrain from Gang related attire 	<ul style="list-style-type: none"> • Written Behavioral Report/Assignment • Practice with Agent (ie.Carey Guide -MRT - T4C) • Deny Travel Requests • Increased Reporting • COMPAS Re-Class • Meeting with Manager & Agent • Correspondence with granting Authority/County-District Attorney • Meeting with Treatment/Education Staff • Restrict Contacts-require approval • Increased Reporting <hr/> <ul style="list-style-type: none"> • Additional Considerations for SO and ISP: • Alternative living arrangements • Extend Current Level • Deny Resident Visitors • Meeting with SO therapist & Agent • Loss of travel permissions (OOC and/or OOS) • Polygraph 	<p><i>Enhancement to ISP</i></p> <p><i>Jail Sanction</i></p> <p><i>ACC Sanction</i></p> <p><i>House Arrest</i></p> <p><i>Revocation</i></p>
CONDITION/CASE PLAN AREA	VIOLATION BEHAVIOR	SANCTION CONSIDERATIONS	FOR PERSISTENT and/or HIGH LEVEL VIOLATION
RESIDENCE	<ul style="list-style-type: none"> • Failure to report change of address • Changes residence and does not notify agent within 24 hours • Changes residence without prior approval • Deny access to residence and searches • Refusal of a Compliance Inspection • Absconding 	<ul style="list-style-type: none"> • Verbal Reprimand • Written Reprimand • Letter of Apology/Responsibility • Increased Reporting <hr/> <ul style="list-style-type: none"> ➤ Additional Considerations for SO and ISP: • Alternative living arrangements • Extend Current Level • Meeting with SO therapist & Agent 	<p><i>Enhancement to ISP</i></p> <p><i>Jail Sanction</i></p> <p><i>ACC Sanction</i></p> <p><i>House Arrest</i></p> <p><i>Revocation</i></p>

CONDITION/CASE PLAN AREA	VIOLATION BEHAVIOR	SANCTION CONSIDERATIONS	FOR PERSISTENT and/or HIGH LEVEL VIOLATION
EMPLOYMENT & SCHOOL	<ul style="list-style-type: none"> • Failure to provide employment/school verification • Failure to maintain employment/school • Failure to seek employment 	<ul style="list-style-type: none"> • Verbal Reprimand • Written Reprimand • Letter of Apology/Responsibility • Increased Reporting • Community Service • Meeting with Manager & Agent • Correspondence with granting Authority/County-District Attorney • Referral follow through with local providers-documentation needed • Daily job search <hr/> <ul style="list-style-type: none"> • Additional Considerations for SO and ISP: • Alternative living arrangements • Extend Current Level 	<p><i>Enhancement to ISP</i> <i>Jail Sanction</i> <i>ACC Sanction</i> <i>House Arrest</i> <i>Revocation</i></p>
CONDITION/CASE PLAN AREA	VIOLATION BEHAVIOR	SANCTION CONSIDERATIONS	FOR PERSISTENT and/or HIGH LEVEL VIOLATION
TRAVEL & LOCATION	<ul style="list-style-type: none"> • Curfew violation - offender off the schedule/late returning home from a scheduled activity • Location/Destination Violation (Agent unable to locate for 24 hours) • Fails to Obtain or Abide by Travel Permit • Violation of location restrictions • Violation of travel Restrictions 	<ul style="list-style-type: none"> • Written Behavioral Report/Assignment • Practice with Agent (ie.Carey Guide -MRT - T4C) • Deny Travel Requests • COMPAS Re-Class • Meeting with Manager & Agent • Correspondence with granting Authority/County-District Attorney • Restrict Contacts-require approval • Modification or Extension of Probation • Increased Reporting <hr/> <ul style="list-style-type: none"> ➤ Additional Considerations for SO and ISP: • Alternative living arrangements • Extend Current Level • Loss of travel permissions (OOC and/or OOS) • Increased curfew • GPS/EM (with Manager & SO Containment Team approval) • Place on weekly or daily schedule • Polygraph 	<p><i>Enhancement to ISP</i> <i>Jail Sanction</i> <i>ACC Sanction</i> <i>House Arrest</i> <i>Revocation</i></p>

CONDITION/CASE PLAN AREA	VIOLATION BEHAVIOR	SANCTION CONSIDERATIONS	FOR PERSISTENT and/or HIGH LEVEL VIOLATION
<p>SURVEILLANCE & EM EQUIPMENT</p>	<ul style="list-style-type: none"> Tampering or interfering with electronic monitoring equipment (EM/GPS) Possessing surveillance equipment prohibited by the P&P agreement or granting authority Failure to comply with Electronic monitoring Failure to comply with GPS Failure to comply with SCRAM Use of alcohol while on device (SCRAM) Tampering with EM, GPS or, SCRAM device Violation of exclusion zone Driving without the court ordered Alcohol monitoring device 	<ul style="list-style-type: none"> Written Behavioral Report/Assignment Practice with Agent (ie.Carey Guide -MRT - T4C) COMPAS Re-Class Meeting with Manager & Agent Correspondence with granting Authority/County-District Attorney Modification or Extension of Probation Increased Reporting <hr/> <p>➤ Additional Considerations for SO and ISP:</p> <ul style="list-style-type: none"> Alternative living arrangements Extend Current Level Extension on GPS / EM Polygraph 	<p><i>Enhancement to ISP</i> <i>Jail Sanction</i> <i>ACC Sanction</i> <i>House Arrest</i> <i>Revocation</i></p>
POSITIVE/CHANGE BEHAVIOR		INCENTIVE CONSIDERATIONS	
<ul style="list-style-type: none"> Keeping appointments Enrolling in program/school Completing HSD or GED or Trade School Certificate Engaging with family positively Securing transportation Being present at Court Hearing Using pro-social communication (Diminished use of profanity) improved social skills Improved physical health/hygiene Wearing non-gang or neutral colors Positive collateral contacts/reports Sobriety Obtained Obtaining Drivers License Program Attendance Participation New Pro-Social Activity Making Restitution /Fine payment Paying Child Support Associating with pro-social peers Violation free for period of time Self-referral to program Positive attitude Increased Use of Coping skills Stable relationships Addressing difficult situations well 		<ul style="list-style-type: none"> Verbal Affirmation Travel Pass Decrease Drug Testing Public Recognition (wall of fame, letter to court, verbal statement in class/court, Letter of Support (certificate, manager approval, to family/friends) Phone in Contact Rewards Card Decrease contact Re-Class Meeting with Manager/Team to recognize Referral as peer mentor Modification of probation terms Early Discharge <hr/> <p>➤ Additional Considerations for SO and ISP:</p> <ul style="list-style-type: none"> Early Level Change Curfew Extended or Removed Travel: OOC and/or OOS Approved Visitors extended Extend electronic/internet use- technology device purchase (smartphone, computer, gaming system, etc) Approved level activity differs from actual level being served Living Arrangements Approved social activity (concert, rodeo, sporting event, etc) 	

- Phasing up in program
- Getting a job-Maintaining Job
- Completing a program
- Being Honest
- Secure & maintain housing
- Case plan goal/objective completion
- Taking prescription medication as directed
- Display appreciation for others
- Express genuine remorse
- Membership in organization
- Demonstrating Self-sufficiency

- Approved family gathering/ family activity
- Approved overnight activity (camping)
- Approved OOC or OOS overnight activity
- Approved chaperone (with SO therapist approval also)